

FORM PTO-1390
(REV. 10-96)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
A-68362/DJB/SMK**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**U.S. APPLICATION NO. (If known, sect 37
C.F.R. 1.5) **09/367797**INTERNATIONAL APPLICATION NO.
PCT/AU98/00106INTERNATIONAL FILING DATE
20 February 1998PRIORITY DATE CLAIMED
20 February 1997

TITLE OF INVENTION

INVISIBLE DIGITAL WATERMARKS

APPLICANT(S) FOR DO/EO/US

Andrew Johnson and Michael Biggar

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. (see enclosed Form PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). [UNSIGNED]
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information.

Front Page of International Publication No. WO 98/37513
Copy of Form PCT/IB/308

09/367797

17. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO \$840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
..... \$670.00No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00Neither international preliminary examination fee (37 CFR 1.482) nor international search
fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 970.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	40	-20 =	20
Independent Claims	5	-3 =	2
Multiple dependent claims (if applicable)			

X \$ 18.00

\$ 360.00

X \$ 78.00

\$ 156.00

+ \$ 260.00

\$ 0.00

TOTAL OF ABOVE CALCULATIONS =

\$ 1,616.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity
statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

Yes No

\$ 0.00

SUBTOTAL =

\$ 1,616.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

TOTAL NATIONAL FEE =

\$ 1,616.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$ 0.00

TOTAL FEES ENCLOSED =

1,616.00

Amount to be:
refunded \$
charged \$a. ☒ A check in the amount of \$1,616.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 06-1300 in the amount of \$ to cover the above fees.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment
to Deposit Account No. 06-1300 (Order No. A-68362/DIB/SMK).NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore
the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Stephen M. Knauer
NAME38,208
REGISTRATION NUMBER

09/367797

510 Rec'd PCT/PTO 19 AUG 1999
PATENT

Attorney Docket No.: A-68362/DJB/SMK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JOHNSON et al.

NATIONAL PHASE ENTRY OF:
PCT/AU98/00106

Serial No.: Not Yet Assigned

Filed: Herewith

For: INVISIBLE DIGITAL
WATERMARKS

EXPRESS MAIL

CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this New Application and the documents listed below and referred to as enclosed herein are being deposited with the United States Postal Service on this date 19 August 1999 in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EM139155385US, addressed to: Assistant Commissioner for Patents Box PCT, Washington, D.C. 20231.

Typed Name: Geody Domingo

Signed: 

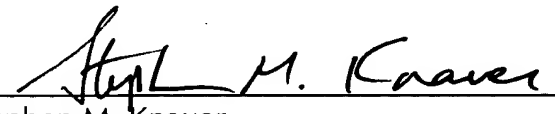
Assistant Commissioner for Patents
BOX PCT
Washington, DC 20231

Sir:

The following documents are enclosed herein for filing in the above-identified new application:

1. Transmittal Letter to the U.S. Designated/Elected Office (in duplicate);
2. Declaration and Power of Attorney by Inventors **[UNEXECUTED]**;
3. First Preliminary Amendment;
4. Front Page of International Publication No. WO 98/37513;
5. Copy of Form PCT/IB/308;
6. Our Check in the amount of \$ 1,616.00; and
7. A Self-Addressed Return Postcard.

Dated: 19 August 1999


Stephen M. Knauer
Reg. No. 38,208

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